



Management Assertion Letter

SOC 2 readiness — accompanies the Privion SOC 2 Readiness Report. Not an independent CPA attestation.

Privion

As of May 22, 2026

Framework: AICPA Trust Services Criteria (2017)

Management Assertion Letter — SOC 2 Readiness (Not an Audited SOC 2 Report)

This document is a management readiness self-assessment mapped to the AICPA Trust Services Criteria. It is not a SOC 2 Type I or Type II report and has not been examined or attested to by an independent certified public accountant. Privion does not represent that controls are suitably designed or operating effectively for audit purposes until a completed engagement with a qualified service auditor.

To whom it may concern:

Privion ("Privion," "we," or "the Company") is responsible for designing, implementing, and operating controls relevant to security, availability, and confidentiality for the in-scope services described in the accompanying SOC 2 Readiness Report and on the Privion Trust Center (priviontech.com/trust).

This letter and the accompanying readiness report constitute management's self-assessment and assertion as of May 22, 2026. They are prepared to support customer and prospect due diligence during Privion's SOC 2 audit roadmap. They do not replace a System and Organization Controls (SOC) 2 Type I or Type II report issued by an independent service auditor.

Management assertions

- The system description in the SOC 2 Readiness Report, together with the Trust Center, fairly describes the in-scope services and trust boundaries for Privion Intranet and PAnalytics, the privileged-access program for client Microsoft 365 tenants, and supporting corporate systems, as of May 22, 2026.
- Management has mapped Privion's control activities to the AICPA Trust Services Criteria (2017) for the Trust Service Categories listed in the readiness report (Security (Common Criteria CC1–CC9); Availability (A1); Confidentiality (C1)).
- Based on that mapping, management believes controls are designed and operating for many criteria, with known gaps and planned remediations documented as "Partially implemented" or "Planned" in the readiness report. Management is actively remediating gaps in preparation for a Type 1 examination targeted for Q4 2026 and a Type 2 examination targeted for Q3 2027.

Trust Service Categories referenced

- Security (Common Criteria CC1–CC9)
- Availability (A1)
- Confidentiality (C1)

Limitations

- This assertion has not been examined or attested to by an independent certified public accountant.
- No opinion is expressed on whether controls are suitably designed or operating effectively for SOC 2 purposes.
- Customers should not rely on this letter as equivalent to a SOC 2 report under SSAE standards.
- Detailed evidence, full policy text, and customer-specific agreements may be provided under NDA upon request.

We make this assertion to assist recipients in understanding Privion's security posture during our audit readiness period. For questions, contact security@priviontech.com.

Signature

Privion

Name: 

Title: CEO and Chief Technologist

Date: May 22 2026 08:45 PDT (as of May 22, 2026)

Certificate of Completion

Summary

Document ID: 36FF6E47-TLDYUR7-AH_PJ03--8U3NGYQTEGNEP01CJEHTZVU-YC

Document name: privion-soc2-management-attestation

Sent by: Matt Fishman <mfishman@priviontech.com>

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Sent on: May 22, 2026 08:45:54 PDT

Completed on: May 22, 2026 08:45:55 PDT

Sign order: Random

No. of documents: 1

Time zone: America/Los_Angeles (GMT-07:00)

Signers: 1

Receives a copy: 0

Approvers: 0

Witnesses: 0

Recipient reviewers: 0

Recipients



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Signed on: May 22, 2026 08:45:55 PDT

Accessed from: 107.222.122.123

Device used: Web

Authentication type: None

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